Metamaterial slab as a lens, a cloak, or an intermediate

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We show that a metamaterial slab with arbitrary values of ε and μ behaves as a cloak at a finite frequency for a small object located sufficiently close to it due to the suppression of the object's optical excitations by enhanced reflections. Reflections due to propagating components can partially suppress the excitation, while evanescent components can cloak the object completely. In particular, a Veselago slab with $\varepsilon = \mu = -1 + i\delta$, as well as a class of anisotropic negative refractive index slabs, can completely cloak the small object placed within a finite distance from the slab when $\delta \to 0$.

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I. INTRODUCTION

An ideal Veselago slab with $\varepsilon = \mu = -1^{1,2}$ can focus all the Fourier components of light, making it a perfect lens. How it works can be explained with the concept of "complementary media,"³ which states that any medium can be optically canceled by a material of equal thickness constructed as an inverted mirror image of the medium, with (ε,μ) reversed in sign. When an object placed at a distance z_d from the front of the Veselago slab with $\varepsilon = \mu = -1$ and thickness *d*, a perfect image will be formed $2d - z_d$ away from the front of the slab. However, the perfect lens effect is subtle (see, for example, Ref. [4](#page-6-0) for some early discussions on this). In particular, an infinitesimal loss is usually required in the Veselago slab, but it will also induce localized resonances, which influence the image formation of an object lying within the resonance region. $5-8$ As the loss approaches zero, any point object located less than a certain distance from the lens will be cloaked due to the presence of the resonant fields.⁷ The $\varepsilon = \mu = -1$ slab is thus a perfect lens and also a perfect cloak, which is apparently contradictory. Our motivation is to solve this puzzle and go beyond the perfect lens ($\varepsilon = \mu = -1$) by considering a metamaterial slab with arbitrary values of (*ε,μ*).

Using a Green's function method, we find that for a slab with arbitrary values of ε and μ , the reflections from the slab (either the propagating components or the evanescent components) can suppress the excitation of a small object placed close to it. The evanescent components can completely suppress the excitation and cloak the object as $z_d \rightarrow 0$. This cloaking effect is generally not influenced by losses in the slab. But the lossy Veselago slab is a special case in which the suppression effect of the evanescent wave is so strong that it completely cloaks small objects within a finite distance of *d/*2 as the material loss goes to zero. We also find that a class of "folded geometry" slabs $9,10$ has the same cloaking effects for a small object within a certain critical distance in the limit of zero absorption. A negative index metamaterial slab generally behaves as somewhere between a cloak and a lens in the sense that it will form an image with suppressed intensity for a small object placed close to it.

This paper is organized as follows. In Sec. II, the Green's function method is described. In Sec. [III,](#page-1-0) the cloaking and imaging effects of an isotropic metamaterial slab with arbitrary *ε* and *μ* are discussed. The cloaking effect of an anisotropic slab is studied in Sec. [IV.](#page-5-0) Discussions and conclusions are presented in Sec. [V](#page-5-0) and Sec. [IV,](#page-5-0) respectively.

II. PHYSICAL SYSTEM AND GREEN'S FUNCTION METHOD

We consider a passive object that is small enough to be represented by a passive dipole and place it in front of a metamaterial slab, and we illuminate the system using an external light source. The object has a dynamic dipole polarizability, $\alpha = i(3/2k_0^3)a_1$, where $k_0 = \omega/c$ with *c* as the speed of light, and a_1 is the electric term of the Mie's coefficients.¹¹ The slab is placed in the *xy* plane, and the external light source is a dipole source placed vertically above the passive object with a polarization along the *y* direction. The induced dipole moment on the object is $\mathbf{p}_0 = \alpha (\mathbf{E}_s^{\text{ext}} + \mathbf{E}_0^{\text{ref}})$, where $\mathbf{E}_s^{\text{ext}} = 4\pi k_0^2 \mathbf{W}^{\text{tot}} \cdot \mathbf{p}_{\text{src}}$ is the external field due to the dipole source in the presence of the slab, and $\mathbf{E}_0^{\text{ref}} = 4\pi k_0^2 \mathbf{W}^{\text{ref}} \cdot \mathbf{p}_0$ is the reflected field from the slab due to the passive dipole itself. Here, \mathbf{p}_{src} is the external dipole source. W^{tot} is the dyadic Green's function, which takes into account the effect of the slab, and **W**ref is the reflection part of the dyadic Green's function. Since the external dipole source is polarized along the *y* direction, and both the active dipole and the passive object are placed on the *z* axis, the nonzero component of the induced dipole moment is also oriented in the *y* direction,

$$
p_{y} = 4\pi k_0^2 \frac{W_{yy}^{\text{tot}}}{\alpha^{-1} - 4\pi k_0^2 W_{yy}^{\text{ref}}} p_{\text{src}}.
$$
 (2.1)

We can define an effective polarizability along the *y* direction,

$$
\alpha^* = \left(\alpha^{-1} - 4\pi k_0^2 W_{yy}^{\text{ref}}\right)^{-1},\tag{2.2}
$$

which is the key to understanding the functionality of the slab. We note that this source dipole configuration is arranged to excite a dipole parallel to the slab through one principal component $(\alpha^* = \alpha^*_{yy})$ of the effective polarizability tensor. By symmetry, $\alpha_{xx}^* = \alpha_{yy}^*$. The behaviors of α_{yy}^* will be discussed in Sec. [III.](#page-1-0) The other principal value of effective polarizability tensor, α_{zz}^* , which corresponds to the excited dipole being perpendicular to the slab, will also be discussed in an appendix, and the results are qualitatively the same.

As the field at the image point is $\mathbf{E}(\mathbf{r}_i) = \alpha^* \mathbf{W}^{\text{tot}}(\mathbf{r}_i, \mathbf{r}_o)$. $\mathbf{E}_s^{\text{ext}}(\mathbf{r}_o)$, with \mathbf{r}_o and \mathbf{r}_i being the object position and image position, respectively, the slab serves as a cloak if $\alpha^* \to 0$. The slab is potentially a lens if $\alpha^* \to \alpha$. The quality of the image depends on the material parameters. From Eq. (2.2), we find $\alpha^* \to 0$ if W_{yy}^{ref} diverges, while $\alpha^* \to \alpha$ if $W_{yy}^{\text{ref}} \to 0$. The explicit expressions for the Green's functions can be found in the literature.¹² In particular, W_{yy}^{ref} has the form:

$$
W_{yy}^{\text{ref}} = \frac{i}{8\pi} \int_0^\infty \frac{k_{//}}{k_{0z}} dk_{//} \bigg(R^{TE} e^{i2k_{0z}z_d} - \frac{k_{0z}^2}{k_0^2} R^{TM} e^{i2k_{0z}z_d} \bigg),\tag{2.3}
$$

where R^{TE} and R^{TM} are the reflection coefficients of the slab, with the form of

$$
R = \frac{2i\left(\zeta^2 - 1\right)\sin k_z d}{\left(\zeta + 1\right)^2 e^{-ik_z d} - \left(\zeta - 1\right)^2 e^{ik_z d}},
$$

where $\zeta^{TE} = \frac{k_z}{k_{0z}\mu}$ and $\zeta^{TM} = \frac{k_z}{k_{0z}\varepsilon}$, and $k_z^2 + k_{//}^2 = \varepsilon \mu k_0^2$.^{[12](#page-6-0)}

III. THE CLOAKING AND IMAGING BEHAVIORS OF AN ISOTROPIC METAMATERIAL SLAB

In this section, we will study the cloaking and imaging behaviors of an isotropic metamaterial slab in detail. The purpose is to clarify the conceptually confusing, mathematically subtle, and apparently contradictory notions of perfect lens and the cloak. In Sec.III A, we will discuss the behaviors of the Green's function for the source dipole located on the *z* axis, with electric field polarized parallel to the metamaterial slab. We will first give the asymptotic behaviors of the effective polarizability *α*[∗] in the following limits: (1) $\varepsilon, \mu \neq -1, z_d \to 0$, (2) $\varepsilon = \mu =$ $-1 + i\delta, \delta \rightarrow 0$, (3) $\varepsilon = -1 + i\delta, \mu \neq -1, \delta \rightarrow 0$, and (4) $\mu = -1 + i\delta, \varepsilon \neq -1, \delta \to 0$. In Sec. [III B,](#page-3-0) we will illustrate the complex behavior of α_{yy}^* for various combinations of (x, δ) . Then we will give the asymptotic behaviors of $W_{yy,img}^{tran}$ for the Veselago slab in the limit of $\delta \to 0$ in Sec. [III C,](#page-4-0) in order to study the image resolution of the image point.

A. Asymptotic behaviors of the effective polarizability

1. The limit $\varepsilon, \mu \neq -1, z_d \to 0$

We first consider the general cases in which ε and μ are not both -1 . Figures 1(a) and 1(b) show the computed values of $|\alpha^*/\alpha|$ with respect to the distance z_d for two cases, namely, $\varepsilon = -2 + i\delta, \mu = -3 + i\delta$ and $\varepsilon = \mu = 2 + i\delta$, with a few values of δ . We see that as $z_d \rightarrow 0$, the slab will suppress the dipole excitation (i.e., $|\alpha^*/\alpha| \to 0$). This suppressing phenomenon is found to be universal for any value of (ε, μ) , This can be seen from the asymptotic behavior of Eq. (2.3) for small z_d .

Let us consider the reflection coming from each k_{\parallel} component. As z_d approaches zero, the cloaking effect has nothing to do with the propagating components $k_{//} \le k_0$, and the evanescent component will dominate. The reflection coefficients approach to $R^{TE} \rightarrow \frac{(\mu-1)}{\mu+1}$ if $k_{//} >> \frac{1}{d} \log |\frac{\mu-1}{\mu+1}|$. Here, we define $\kappa_0 = C \max\{\frac{1}{d} \log |\frac{\mu-1}{\mu+1}|, \frac{1}{d} \log |\frac{\varepsilon-1}{\varepsilon+1}|, \sqrt{\varepsilon \mu k_0} \}$ where the prefactor *C* is a constant much bigger than 1

FIG. 1. (Color online) The suppression of effective polarizability (see text) as a function of z_d/d , where *d* is the slab thickness and z_d is distance between the dipole and the slab. δ is the loss parameter. The slab parameters are (a) $Re(\varepsilon) = -2, Re(\mu) = -3$; (b) $Re(\varepsilon) =$ $Re(\mu) = 2$; and (c) $Re(\varepsilon) = Re(\mu) = -1$, and in this special case a finite-size "suppression zone" of width *d/*2 emerges in the limit of $\delta \rightarrow 0$.

(10 would be a very safe choice). We consider those evanescent components with $k_{//} > \kappa_0$, in which $k_{0z} \sim$ $i k_{\ell}$, $k_{\ell} \equiv \kappa$, $R^{TE} \sim (\mu - 1)/(\mu + 1) \equiv R_{\text{lim}}^{TE}$, and $R^{TM} \sim$ $(\varepsilon - 1)/(\varepsilon + 1) \equiv R_{\text{lim}}^{TM}$. Hence, it yields

$$
W_{yy}^{\text{ref}} \rightarrow \frac{1}{8\pi} \int_{\kappa_0}^{\infty} d\kappa e^{-2\kappa z_d} \left[R_{\text{lim}}^{TE} + \frac{\kappa^2}{k_0^2} R_{\text{lim}}^{TM} \right]
$$

=
$$
\frac{1}{16\pi} \left[\frac{R_{\text{lim}}^{TE}}{z_d} + R_{\text{lim}}^{TM} \left(\frac{\kappa_0^2}{k_0^2 z_d} + \frac{\kappa_0}{k_0^2 z_d^2} + \frac{1}{2k_0^2 z_d^3} \right) \right]
$$

× $e^{-2\kappa_0 z_d}$. (3.1)

Equation (3.1) indicates that $4\pi k_0^2 W_{yy}^{\text{ref}}$ diverges as z_d^{-3} as *zd* approaches zero. It indicates that *α*[∗] goes to zero smoothly with a asymptotic form \sim z_d^3 , and a slab with $\varepsilon, \mu \neq -1$ has no critical-distance cloaking effect. This universal result shows that the reflection of the evanescent wave in the slab with sufficiently large Fourier component, κ_0 , can suppress the dipole excitation ($\alpha^* \to 0$) completely as long as the object is sufficiently close to the slab. This suppression effect occurs for any material parameter value (except for when $\varepsilon = \mu = 1$), but the effect is stronger in negative-refractive-index slabs than in positive-refractive-index slabs (with the same absolute values of ε, μ) due to larger values of R_{lim}^{TE} and R_{lim}^{TM} .

2. The limit $\varepsilon = \mu = -1 + i\delta$,δ → 0

The suppression effect is strongest as $\varepsilon, \mu \to -1$, which is a case requiring special treatment. We consider a finite

value of the absorption parameter δ and consider in the limit $\delta \rightarrow 0$. As shown in Fig. [1\(c\),](#page-1-0) there is a critical distance of $d/2$ at which α^* drops to zero abruptly when $\delta \to 0$. It indicates that the Veselago slab behaves as a cloak with a "suppression zone" of thickness *d/*2 as long as the absorption is vanishingly small. This is the finite frequency analog of the "anomalous resonance effect" first discovered by Nicorovici, McPhedran, and Milton.⁵ Such a cloaking effect can be analytically deduced from the asymptotic behavior of *W*ref *yy* for small *δ*.

Let us consider the reflection coming from each *k//* component. For $k_{//} \le k_0$, we have $|R(k_{//})| \le 2|\frac{1-\zeta^2}{(1-\zeta)^2}|$, which implies $\lim_{\delta \to 0} |R(k_{//})| = 0$. This implies that the cloaking effect in the perfect lens has nothing to do with the propagating components. For $k_{//} > k_0$, if δ is small, we have $1 - \zeta^2 =$ $-2i\delta \frac{k_{\parallel}^2}{k_{\parallel}^2 - k_0^2} + O(\delta^2)$ and $1 + \zeta = -i\delta \frac{k_{\parallel}^2}{k_{\parallel}^2 - k_0^2} + O(\delta^2)$. So if k_{ℓ} \gg k_0 (e.g., k_{ℓ} = 10 k_0), we have $\zeta \cong -1 - i\delta$, and R^{TE} = $R^{TM} \sim \frac{2i\delta e^{\kappa d}}{\delta^2 e^{\kappa d} + 4e^{-\kappa d}}$, where $\kappa = \sqrt{k_{//}^2 - \varepsilon \mu k_0^2}$. For any fixed δ , we find when k_{ℓ} > (1/*d*) log(2/ δ), $R(k_{\ell}) \rightarrow 2i/\delta$. Hence, for sufficiently large k_{II} , the reflection of the evanescent wave is very strong, and when we also take into account of the factor $e^{2ik_{0z}z_d}$, which is exponentially decaying for evanescent components, the reflection mostly comes from large *k//* Fourier components with its optimal value at $k_{\ell} \sim |\log \delta|/d$. It is straightforward to show that the reflection due to evanescent waves can be approximated as

$$
W_{yy}^{\text{ref}} \sim \frac{1}{4\pi} \int_{k_0}^{\infty} d\kappa \left[\frac{i\delta e^{\kappa d\gamma}}{\delta^2 e^{\kappa d} + 4e^{-\kappa d}} + \frac{\kappa^2}{k_0^2} \frac{i\delta e^{\kappa d\gamma}}{\delta^2 e^{\kappa d} + 4e^{-\kappa d}} \right]
$$

$$
= \frac{i}{4\pi d\delta^{\gamma}} \int_{\delta e^{k_0 d}}^{\infty} dx \frac{x^{\gamma}}{x^2 + 4} \left[1 + \frac{(\log x - \log \delta)^2}{k_0^2 d^2} \right].
$$
(3.2)

Here, $\gamma = 1 - 2z_d/d$ and $x = \delta e^{\kappa d}$. Hence, as $\delta \to 0$, it yields

$$
W_{yy}^{\text{ref}} \rightarrow \frac{i}{4\pi d\delta^{\gamma}} \left[I_0(\gamma) \left(1 + \frac{\log^2 \delta}{k_0^2 d^2} \right) - 2 \frac{\log \delta}{k_0^2 d^2} I_1(\gamma) + \frac{I_2(\gamma)}{k_0^2 d^2} \right],\tag{3.3}
$$

where $I_n(\gamma) = \int_0^\infty$ $\mathbf{0}$ $\frac{x^{\gamma} \log^{n} x}{x^2+4} dx$ is a constant. Equation (3.3) indicates that $4\pi k_0^2 W_{yy}^{\text{ref}}$ diverges if $z_d < d/2$ whereas $4\pi k_0^2 W_{yy}^{\text{ref}} \rightarrow 0$ if $z_d > d/2$ in the limit of zero absorption. Figures $\hat{1}(a)$ and $1(b)$ show that the suppression effect depends only weakly on δ for general values of ε, μ . Figure [1\(c\)](#page-1-0) shows that the case $Re(\varepsilon) = Re(\mu) = -1$ is special in the sense that the suppression effect is strongly dependent on *δ*, and a finite "suppression zone" of $z_d = d/2$ emerges in the limit of small absorption.^{[7](#page-6-0)}

3. The limit $\varepsilon = -1 + i\delta, \mu \neq -1, \delta \to 0$

When either ε or μ equals to −1, the suppression of excitation is stronger than that when neither ε nor μ equals to -1 , but not as strong as that when both are equal to −1. Specifically, it will be shown in the following that, for $\varepsilon = -1 + i\delta$, $\mu \neq -1$, α^* smoothly approaches zero with an

asymptotic form of z_d^5 at a finite frequency. There is no critical distance within which the excitation suddenly turns zero in the limit $\delta \to 0$, but the suppression is stronger than that with any other values of ε . However, in the quasistatic limit, there is a "suppression zone" of $d/2$ in the limit $\delta \to 0$.

In case 3, we will focus on the reflection coefficient for *TM* mode. We have $\zeta = \frac{\sqrt{\varepsilon \mu k_0^2 - k_{\parallel}^2}}{\sqrt{\frac{\varepsilon^2}{2}}$ $\frac{\sqrt{\varepsilon \mu k_0^2 - k_{\frac{\beta}{\ell}}^2}}{\varepsilon \sqrt{k_0^2 - k_{\frac{\beta}{\ell}}^2}}$ and $\zeta^2 - 1 \cong 2i\delta + \frac{(\mu + 1)k_0^2}{k_{\frac{\beta}{\ell}}^2}$, which lead to the following approximate expression of the reflection coefficient for large $k_{//} (\equiv \kappa)$:

$$
R^{TM}(\kappa) = \frac{-\left(1 - \zeta^2\right)(e^{ik_z d} - e^{-ik_z d})}{\left(1 + \zeta\right)^2 e^{-ik_z d} - \left(1 - \zeta\right)^2 e^{ik_z d}}
$$

$$
\approx -\frac{\left[2i\delta + \frac{(1 + \mu)k_0^2}{\kappa^2}\right]e^{\kappa d}}{\left[i\delta + \frac{(1 + \mu)k_0^2}{2\kappa^2}\right]^2 e^{\kappa d} - 4e^{-\kappa d}}.
$$
(3.4)

We first consider the limit $k_0 \rightarrow 0$. In this limit, Eq. (3.4) becomes $R^{TM}(\kappa) = \frac{2i\delta e^{\kappa d}}{\delta^2 e^{\kappa d} + 4e^{-\kappa d}}$, which is the same as a Veselago slab with absorption *δ* in a finite frequency regime. Hence, we expect that the integral in W_{yy}^{ref} diverges as $\delta \to 0$ for $z_d < d/2$. However, in this case, since $k_0 \to 0$, it is better for us to examine the quantity $4\pi k_0^2 W_{yy}^{\text{ref}}$ (see the expression of α_{yy}^*),

$$
\lim_{k_0 \to 0} 4\pi k_0^2 W_{yy}^{\text{ref}} \to \frac{i}{d^3 \delta^{\gamma}} [I_0(\gamma) \log^2 \delta - 2I_1(\gamma) \log \delta + I_2(\gamma)].
$$
\n(3.5)

We find that if $z_d < d/2$, $\lim_{k_0 \to 0} 4\pi k_0^2 W_{yy}^{\text{ref}}$ diverges as $\delta \to 0$, with the asymptotic form $C\delta^{-\gamma} \log^2 \delta$. This indicates that a slab with $\varepsilon = -1 + i\delta, \mu \neq -1$ can have a finite distance cloaking effect in the quasistatic limit, with a critical distance *d/*2.

From Eq. (3.4), we know if

$$
k_0 < \sqrt{2} \left(\frac{1}{d} \right) \delta^{1/2} \log \left(\frac{2}{\delta} \right) / \sqrt{1 + \mu},\tag{3.6}
$$

we have $R^{TM} \cong \frac{2i\delta e^{\kappa d}}{\delta^2 e^{\kappa d} + 4e^{-\kappa d}}$ for all $k_{//} > (1/d) \log(2/\delta)$. In this case, a slab with $\varepsilon = -1 + i\delta, \mu \neq -1$ can have cloaking effect similar to a Veselago slab, i.e., cloaking with a critical distance *d/*2. Equation (3.6) shows the upper bound of the working wavelength and gives the quantitative definition of "quasistatic limit." Note that the upper bound is related to the slab thickness *d* and μ . In the perspective of Eq. (3.6), the Veselago slab with $\mu \rightarrow -1$ corresponds to infinite upper bound, so that the Veselago lens has critical cloaking effect for arbitrary frequency.

We now consider the finite frequency regime and take $\delta \rightarrow 0$. We have

$$
R^{TM}(\kappa) = -\frac{\frac{(1+\mu)k_0^2}{\kappa^2}e^{\kappa d}}{\left[\frac{(1+\mu)k_0^2}{2\kappa^2}\right]^2e^{\kappa d}-4e^{-\kappa d}}
$$

.

If $z_d \to 0$, the integral related to *TM* mode in the $4\pi k_0^2 W_{yy}^{\text{ref}}$ can be approximated as

$$
f(z_d) - \frac{1}{16(1+\mu)k_0^2 z_d^5} \left[(2\tilde{\kappa}z_d)^4 + 4(2\tilde{\kappa}z_d)^3 + 12(2\tilde{\kappa}z_d)^2 + 24(2\tilde{\kappa}z_d) + 24 \right] e^{-2\tilde{\kappa}z_d} \sim \frac{3}{2(1+\mu)k_0^2 z_d^5} e^{-2\tilde{\kappa}z_d}.
$$
\n(3.7)

Here $\tilde{\kappa}$ is a sufficient large value such that $\left[\frac{(1+\mu)k_0^2}{2\tilde{\kappa}^2}\right]$ ² $e^{\tilde{\kappa}d}$ \gg $4e^{-\tilde{\kappa}d}$. In fact, due to the exponential factor, $\left[\frac{(1+\mu)k_0^2}{2\kappa^2}\right]^2 e^{\kappa d}$ will very quickly dominate as *κ* increase; therefore, ˜*κ* in general need not to be very large. For example, if $\mu = -2$, $k_0 d = 1$, $\tilde{\kappa} = 7/d$, $\left[\frac{(1+\mu)k_0^2}{2\tilde{\kappa}^2}\right]^2 e^{\tilde{\kappa}d} \sim 40e^{-\tilde{\kappa}d}$.

Here

$$
f(z_d) = -\frac{1}{2}k_0^2 \int_{k_0}^{\tilde{\kappa}} \frac{(1+\mu)e^{\kappa(d-2z_d)}}{\left[\frac{(1+\mu)k_0^2}{2\kappa^2}\right]^2 e^{\kappa d} - 4e^{-\kappa d}}d\kappa,
$$

which is finite when $z_d \to 0$ ($|f(z_d)| < |f(0)|$). Hence, we have $W_{yy}^{\text{ref}} \sim C/z_d^5$ as $z_d \to 0$. Therefore, in the finite frequency regime beyond the quasistatic regime, a slab with $\varepsilon = -1 + i\delta, \mu \neq -1$ does not exhibit the critical-distance cloaking effect, but the suppression effect is much stronger than $\varepsilon, \mu \neq -1$.

4. **The limit** $\mu = -1 + i\delta$ **,** $\varepsilon \neq -1, \delta \to 0$

For $\mu = -1 + i\delta$, $\varepsilon \neq -1$, we will show that α^* smoothly approaches zero with an asymptotic form of z_d^3 so that the suppression is not as strong as that in the case of $\varepsilon = -1 +$ $i\delta, \mu \neq -1$.

First we consider the limit $k_0 \rightarrow 0$. Similar to case 3, when $z_d < d/2$ and $\delta \rightarrow 0$, W_{yy}^{ref} will diverge with the asymptotic form of

$$
W_{yy}^{\text{ref}} \to \frac{i}{4\pi d\delta^{\gamma}} I_0(\gamma) + \frac{1}{16\pi} R_{\text{lim}}^{TM} \left(\frac{\kappa_0^2}{k_0^2 z_d} + \frac{\kappa_0}{k_0^2 z_d^2} + \frac{1}{2k_0^2 z_d^3} \right) e^{-2\kappa_0 z_d}.
$$

However, $4\pi k_0^2 W_{yy}^{\text{ref}}$ does not diverge if $k_0 \to 0$. If k_0 satisfies the quasistatic limit condition $k_0 <$ $\frac{\pi}{2}(1/d)\delta^{1/2} \log(2/\delta) / \sqrt{1+\epsilon}$, we have

$$
4\pi k_0^2 W_{yy}^{\text{ref}} \to \frac{2i\delta^{2z_d/d}}{(1+\varepsilon)d^3} I_0(\gamma) \log^2(2/\delta) + \frac{1}{4} R_{\text{lim}}^{TM} \left(\frac{\kappa_0^2}{z_d} + \frac{\kappa_0}{z_d^2} + \frac{1}{2z_d^3}\right) e^{-2\kappa_0 z_d}.
$$
 (3.8)

The first term of Eq. (3.8) goes to zero as $\delta \to 0$, and hence $4\pi k_0^2 W_{yy}^{\text{ref}}$ remains finite (equal to the second term) if $z_d \neq 0$ when $\delta \to 0$, i.e., α^* goes to zero smoothly as $z_d \to 0$ (the asymptotic behaviors is $\sim Cz_d^3$). Therefore, there is no critical distance for $\mu = -1 + i\delta$, $\varepsilon \neq -1$ in the quasistatic limit.

Now consider the finite frequency and $\delta \rightarrow 0$. If $z_d \rightarrow$ 0, the integral related to TE mode in the $4\pi k_0^2 W_{yy}^{\text{ref}}$ can be approximated as

$$
g(z_d) - \frac{e^{-2\tilde{\kappa}z_d}}{4(1+\varepsilon)z_d^3} \left[(2\tilde{\kappa}z_d)^2 + 2(2\tilde{\kappa}z_d) + 2 \right] \sim \frac{-ie^{-2\tilde{\kappa}z_d}}{2(1+\varepsilon)z_d^3},
$$

where $\tilde{\kappa}$ has the same definition as Eq. (3.7), and

$$
g(z_d) = -\frac{1}{2}k_0^2 \int_{k_0}^{\tilde{\kappa}} \frac{\frac{(1+\varepsilon)k_0^2}{\kappa^2} e^{\kappa(d-2z_d)}}{\left[\frac{(1+\varepsilon)k_0^2}{2\kappa^2}\right]^2 e^{\kappa d} - 4e^{-\kappa d}}d\kappa,
$$

which is finite when $z_d \to 0$ ($|g(z_d)| < |g(0)|$). Hence, we note that the asymptotic behavior is $4\pi k_0^2 W_{yy}^{\text{ref}} \sim C/z_d^3$. Therefore, a slab with $\mu = -1 + i\delta$, $\varepsilon \neq -1$ does not have a critical-distance cloaking effect.

B. Behavior of the effective polarizability for the parameter space of (x, δ)

To further illustrate the complex behavior of α^* at a finite distance of z_d for different material parameters ($\varepsilon = \mu = x +$ *iδ*), we compute *α*[∗] numerically for various combinations of (x, δ) , as shown in Fig. 2. The object is placed at a distance $z_d = d/5$ from the slab. We see that the color coding is mostly "orange," which means that $|\alpha^*/\alpha|$ is approximately equal to one in most of the cases, so that the metamaterial slab does not cloak and would behave more or less as a lens if the refractive index is negative. But for some particular values of (x, δ) in which the color is "black," the value of $|\alpha^*/\alpha|$ is small, and the slab behaves as a cloak. Figure [3](#page-4-0) plots the relationship between $|\alpha^*/\alpha|$ and *x* at different values of z_d for a fixed small loss of $\delta = 10^{-5}$. We can see that $|\alpha^*/\alpha| \sim 1$ no matter what the value of x is if the dipole object is far from the slab (the black curve), showing the absence of cloaking. This is true for all $z_d > d/2$. However, if the object is moved very close to the slab, $|a^*/\alpha|$ decreases significantly for any value of *x* [the red (dark gray) curve], indicating the suppression of the dipole excitation by the metamaterial slab. While the suppression is strongest at $x = -1$, there are other values of x that would give fairly strong suppression [see the dips in the green (light gray) and red (dark gray) curves].

A careful analysis shows that there are two distinct mechanisms behind the suppression of α^* . We note from Eq. (2.3) that both the propagating and evanescent components contribute to reflections. When the dipole is relatively far away from the slab, since the evanescent components $(k_{//} > k_0)$ decay spatially [due to the $e^{i2k_{0z}z_d}$ factor in Eq. (2.3)], the suppression is mainly attributed to the propagating components $(k_{\ell} < k_0)$. We find that the reflection coefficient, *R*, takes its optimal values when $\sin k_z d \sim \pm 1$, i.e., $\sqrt{\varepsilon \mu} k_0 d \sim (2n+1)\pi/2$, where *n* is an integer. This causes the Fabry-Perot resonance of *α*[∗] at some particular values of *x* ∼ ($λ/d$)(2*n* + 1)/4, in the limit of large $|x|$. We note that for the relatively large values of z_d , large phase differences $(e^{i2k_0z_d})$ will cause different propagating components to cancel each other. This explains why the resonant behaviors are stronger on the green (light gray) and red (dark gray) curves than on the black curve.

FIG. 2. (Color online) The effective polarizability for a dipole positioned at $z_d = d/5$ in front of a slab with $\varepsilon = \mu = x + i\delta$, thickness *d*, and $k_0d = 6\pi$. Black indicates strong suppression of excitation.

FIG. 3. (Color online) The effective polarizability as a function of the real part of the permittivity of the slab with $\delta = 10^{-5}$ and different values of z_d .

While the Fabry-Perot resonance can cause suppression, it cannot bring $|a^*/\alpha|$ to exactly zero. For example, we see from Fig. 4(a) that at $Re(\varepsilon) = Re(\mu) = x = -1.953132, |\alpha^*/\alpha|$ reaches a very small value, but it remains finite for any value of absorption. This is because *R* for $k_{//} < \sqrt{\varepsilon \mu} k_0$ is always of the order of unity. This implies that the suppression effect due to the propagating waves can give only a partial suppression. The complete cloaking effect is due to the strong reflection of the evanescent waves. When the object is moved closer to the slab, the large evanescent components ($k_{//} > \sqrt{\varepsilon \mu} k_0$) are expected to become more dominant, leading to stronger suppression of α^* . One can see that *R* approaches a constant R_{lim}^{TE} for *TE* mode (and R_{lim}^{TM} for *TM* mode) for $k_{//} > \frac{1}{d} \log |\frac{x-1}{x+1}|$. It yields $|\frac{\alpha^*}{\alpha}| \sim C|\frac{x-1}{x+1}|$, where *C* is a constant that becomes smaller as z_d decreases. This is exactly the profile of the red (dark gray) curve in Fig. 3. The suppression effect is the strongest at $x = -1$, which corresponds to the Veselago slab. Figure $4(b)$ shows the numerical results near $x = -1$ at finite z_d . It can be

FIG. 4. (Color online) The effective polarizability as a function of the imaginary part of the permittivity of the slab with different values of $\text{Re}(\varepsilon) = \text{Re}(\mu) = x$ and $z_d = d/5$. The imaging and cloaking properties of the metamaterial slab for some configurations are demonstrated in Fig. 5.

seen that the value of $|\alpha^*/\alpha|$ at $x = -1$ is much smaller than those near $x = -1$ as the loss goes to zero.^{[13](#page-6-0)}

Figures 5 shows the field distributions for some particular configurations with a value of $\delta = 10^{-7}$, in order to highlight the cloaking versus imaging effect. Using the Green's function method, the electric field at **R** can be written as $E_y(\mathbf{R}) = \omega^2 \mu_0[W_{yy,\mathbf{R}}^{\text{tot}} + \alpha^* 4\pi k_0^2 W_{yy,\mathbf{R}}^{\text{tran}} W_{yy,\text{dipole}}^{\text{tot}}] p_{\text{src}}$ where $W_{yy,R}^{\text{tot}}$ ($W_{yy,dipole}^{\text{tot}}$) represents the *yy* element of the total Green's function radiated at **R** (the passive dipole) by the external source, and $W_{yy,R}^{\text{tran}}$ represents the Green's function radiated at \bf{R} by the dipole object.^{[12](#page-6-0)} The color stands for $log(|E_{\text{tot}}|)$. The source (p_{src}) is placed at 3d to the left of the slab. It can be clearly seen that the partial cloaking and imaging effect when the "dipole" object (black dot) is at a distance $z_d = d/5$ in front of the metamaterial slab.

C. Image resolution in the image point

The transmission part of the dyadic Green's function in the image point is 12

$$
4\pi k_0^2 W_{\rm yy, img}^{\rm tran} = \frac{i k_0^2}{2} \int_0^\infty \frac{k_{//}}{k_{0z}} dk_{//} \left(T^{TE} + \frac{k_{0z}^2}{k_0^2} T^{TM} \right) e^{i2k_{0z}d},\tag{3.9}
$$

where

$$
T = \frac{4\zeta e^{-ik_z d}e^{-ik_{0z}d}}{(\zeta+1)^2 e^{-i2k_z d} - (\zeta-1)^2}.
$$

For $k_{//} \le k_0$, we have $\lim_{\delta \to 0} |T(k_{//})e^{2ik_{0z}d}| = \lim_{\delta \to 0} 4|\frac{\xi}{(1-\xi)^2}| = 1$. The propagating modes should have a transfer function of \sim 1, but as $\alpha^* \to 0$, the propagating modes cannot provide any intensity for image formation. The evanescent modes with $k_{\ell} > k_0$ is more subtle. If δ is small, we have $T^{TE} =$ $T^{TM} \sim \frac{4e^{\kappa d}}{\delta^2 e^{\kappa d} + 4e^{-\kappa d}}$, where $\kappa = \sqrt{k_{//}^2 - \varepsilon \mu k_0^2}$. For any fixed *δ***,** we find when $k_{//}$ > (1/*d*) log(2/*δ*), $T(k_{//}) \rightarrow 4/δ^2$. As *T*($k_{//}$) is capped by $\frac{4}{\delta^2}$, $T(k_{//})e^{2ik_{0z}d}$ actually drops to zero for sufficiently big values $k_{//}(k_{//} > (1/d) \log(2/\delta))$ due to the exponential factor $e^{2ik_{0z}d}$. We note that $T(k_{//})e^{2ik_{0z}d} = 1$ for all k_{ℓ} if we simply put $\delta = 0$ in the calculations without

FIG. 5. (Color online) (a) Partial cloaking and (b) imaging/scattering effect when the "dipole" object (black dot) is at a distance $z_d = d/5$ in front of the metamaterial slab (between solid lines). (a) and (b) Corresponding to the black (solid) and red (dash) curves, respectively, in Fig. 4(a).

considering the limit carefully. Mathematically, the transmission due to evanescent waves can be approximated as

$$
2k_0^2 \frac{1}{d} \left[I'_0 \left(1 + \frac{\log^2 \delta}{k_0^2 d^2} \right) - 2 \frac{\log \delta}{k_0^2 d^2} I'_1 + \frac{I'_2}{k_0^2 d^2} \right],
$$

where

$$
I_n' = \int_{\delta e^{k_0 d}}^{\infty} \frac{\log^n x}{x (x^2 + 4)} dx \sim \frac{1}{4} \int_{\delta e^{k_0 d}}^{\infty} \log^n x d (\log x)
$$

$$
\sim -\frac{\log^{n+1} \delta}{4 (n+1)}.
$$

Here I'_n contributes a $\log^{n+1} \delta$ divergence as $\delta \to 0$. We note that when $z_d < \frac{d}{2}$, $4\pi k_0^2 W_{yy}^{\text{ref}}$ is divergent as $\delta \to 0$, and it is more divergent than $4\pi k_0^2 W_{yy,\text{img}}^{\text{tran}}$. As $\alpha^* \sim (4\pi k_0^2 W_{yy}^{\text{ref}})^{-1}$, we find $\lim_{\delta \to 0} \alpha^* 4\pi k_0^2 W_{\text{yy,img}}^{\text{tran}} \to 0$. It means that evanescent waves also cannot contribute to any intensity at the image point. It indicates that in the pursuit of an ideal superlens, the image will approach perfect resolution, but it has zero brightness.

IV. CLOAKING EFFECT FOR "FOLDED GEOMETRY" SLAB

The next question is whether the Veselago slab is unique in the sense that it has a finite size "suppression zone." We find that suppression zones can also occur in other negative index slabs if we allow anisotropy. From the point of view of transformation optics, 14 14 14 negative refractive index material parameters correspond to a "folded geometry" coordinate transformation $9,10$ with a negative slope that maps a single point in the electromagnetic space (z') to multiple points in the physical space (*z*). This kind of mapping corresponds to $\varepsilon = \mu = \text{diag}(\frac{dz'}{dz}, \frac{dz'}{dz}, \frac{dz}{dz'})$ in the physical space. The mapping $dz'/dz = -1$ gives the perfect lens. In general, a slab with $\varepsilon = \mu = \text{diag}(-\beta, -\beta, -1/\beta)$, where $\beta > 0$, corresponds to the mapping $dz'/dz = -\beta$ inside the lens and $dz'/dz = 1$ outside the lens. In such a "folded geometry" slab, we have

$$
R = \frac{-[1 - \zeta^2](e^{ik_z d} - e^{-ik_z d})}{(1 + \zeta)^2 e^{-ik_z d} - (1 - \zeta)^2 e^{ik_z d}},
$$
(4.1)

where $\zeta = \frac{\sqrt{\mu_x \varepsilon_y \omega^2/c^2 - k_x^2 \mu_x / \mu_z}}{\sqrt{\frac{2(1.2.12)}}{c^2}}$ $\frac{\partial x}{\partial y} = \sqrt{\omega^2/c^2 - k_x^2}$ for *TE* mode and $\zeta = \sqrt{\omega^2/c^2 - k_x^2}$ *ι*
 *γ*_{μyε_xω²/c²−*k*_x²ε_x/ε_z}

 $\int_{\epsilon_x}^{\epsilon_y \epsilon_x \omega^2/c^2 - k_x^2 \epsilon_x / \epsilon_z}$ for *TM* mode. For large $k_x (\equiv \kappa)$, we have $k_z \sim i\beta\kappa$, and

$$
R^{TE} = R^{TM} \sim \frac{2i\delta[e^{\beta \kappa d} - e^{-\beta \kappa d}]}{\delta^2 e^{\beta \kappa d} + 4e^{-\beta \kappa d}},
$$

which is the same as a Veselago lens of thickness *βd*. Therefore the cloaking effect also occurs in such slabs with a critical cloaking distance $z_d = \beta d/2$, which can tuned to be as large as we like. Here we numerically demonstrate the cloaking effect (for a two-dimensional system) calculated by the COMSOL package. The cylindrical object $(r = 0.005d, \varepsilon = 480)$ is placed at a distance $z_d = d/2$ and $z_d = 5d/4$ in front of an anisotropic slab with material parameters $\varepsilon_y = -2, \mu_z =$ -0.5 , $\mu_x = -2$, and illuminated by a Gaussian beam with $\lambda = 0.125d$ and the waist size of 0.125*d*. We see that the

FIG. 6. (Color online) The "dipole" object (black dot) is (a) cloaked or (b) imaged by an anisotropic "folded geometry" slab.

object can be cloaked [Fig. $6(a)$] or imaged [Fig. $6(b)$] by the slab depending on whether is it within or outside $z_d = \beta d/2$.

V. DISCUSSION

We also note that the critical distance cloaking effect is mainly attributed to large *k//* components and occurs at $(x,\delta) \rightarrow (-1,0)$. In experimentally realizable metamaterials, the absorption is not that small, and there is always some deviation from the exact value of $x = -1$. As the building blocks of metamaterials are discrete, there is always a cutoff in the parallel component of the wavevector. Hence most perfect lens experiments should observe the imaging effect. But in the limit of an ideal $\varepsilon = \mu = -1$ Veselago slab with infinitesimal loss, the image for a small object should have zero brightness if it is placed within *d/*2 of the slab. We also note that our results do not contradict the concept of "complementary media."[3](#page-6-0) If there is absorption in the perfect lens (e.g., $\varepsilon = \mu = -1 + i\delta$), a layer of air with "anti-absorption" (i.e., $\varepsilon = \mu = 1 - i\delta$) is required to maintain symmetry, and the pair of $\varepsilon = \mu$ $[-1 + i\delta, 1 - i\delta]$ does function as a perfect lens for any finite value of *δ*.

VI. CONCLUSION

In conclusion, we have investigated the imaging/cloaking condition of a small object in front of a metamaterial slab with arbitrary values of (ε,μ) . The dipole excitation of a small object can be suppressed if it is placed sufficiently close to the slab. The suppression is strongest for "folded geometry" slabs, which have finite suppression zones of width *βd/*2 in the limit of zero absorption.

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APPENDIX A: ASYMPTOTIC BEHAVIORS OF *W***ref** *zz*

In this appendix, we will discuss the cloaking effect if the source dipole is polarized perpendicular to the slab. This corresponds to the principal value of effective polarizability tensor, α_{zz}^* , while the main text corresponds to $\alpha_{yy}^* = \alpha_{xx}^*$. We will see that the results in the appendix are qualitatively the same as the case in the main text. In this appendix we should consider the excitation of the perpendicular component of the Green's function, which is given by

$$
4\pi k_0^2 W_{zz}^{\text{ref}} = i \int_0^\infty \frac{k_{//}^3}{k_{0z}} dk_{//} R^{TM} e^{2ik_{0z}z_d}.
$$
 (A1)

1. The limit $\varepsilon = -1 + i\delta$, $\mu = -1$

In this case, we have $\lim_{\delta \to 0} 4\pi k_0^2 W_{zz}^{\text{ref}} \to$ $\frac{2i}{d^3\delta^{\gamma}}[I_0(\gamma)\log^2 \delta - 2I_1(\gamma)\log \delta + I_2(\gamma)]$. We find that if $z_d < d/2$, $\lim_{\delta \to 0} 4\pi k_0^2 W_{zz}^{\text{ref}}$ diverges as $\delta \to 0$, with the asymptotic form $C\delta^{-\gamma} \log^2 \delta$. This indicates that it can have finite distance cloaking effect with a critical distance *d/*2.

2. The limit $\varepsilon = -1 + i\delta$, $\mu \neq -1$

We first consider the limit $k_0 \to 0$. In this limit, $4\pi k_0^2 W_{zz}^{\text{ref}}$ has the same asymptotic form as that of $\mu = -1$. So a slab with $\varepsilon = -1 + i\delta, \mu \neq -1$ can have finite distance cloaking effect in the quasistatic limit, with a critical distance *d/*2. Now we turn to consider finite frequency, zero absorption limit, we should have the asymptotic form of

$$
R^{TM}(\kappa) = -\frac{\frac{(1+\mu)k_0^2}{\kappa^2}e^{\kappa d}}{\left[\frac{(1+\mu)k_0^2}{2\kappa^2}\right]^2e^{\kappa d}-4e^{-\kappa d}}.
$$

If $z_d \to 0$, $4\pi k_0^2 W_{zz}^{\text{ref}}$ have the following asymptotic form:

$$
2f(z_d) - \frac{1}{8(1+\mu)k_0^2 z_d^5} [(2\tilde{\kappa}z_d)^4 + 4(2\tilde{\kappa}z_d)^3 + 12(2\tilde{\kappa}z_d)^2
$$

+ 24(2\tilde{\kappa}z_d) + 24]e^{-2\tilde{\kappa}z_d} \sim \frac{3}{(1+\mu)k_0^2 z_d^5}e^{-2\tilde{\kappa}z_d}, (A2)

where the definitions of $f(z_d)$ and $\tilde{\kappa}$ are the same in the text. Hence, we have $W_{zz}^{\text{ref}} \sim C/z_d^5$ as $z_d \to 0$. Therefore, in the frequency regime, a slab with $\varepsilon = -1 + i\delta, \mu \neq -1$ does not have a critical cloaking distance.

3. The limit $ε ≠ −1$

In this case, we have, $4\pi k_0^2 W_{zz}^{\text{ref}} \rightarrow \frac{1}{8z_d^3} R_{\text{lim}}^{TM}$ $[(2\kappa_0 z_d)^2 + 2(2\kappa_0 z_d) + 2]e^{-2\kappa_0 z_d} \sim C/z_d^3$. Here we define $\kappa_0 = C \max\{(1/d) \log |(\varepsilon - 1) / (\varepsilon + 1)|, \sqrt{\varepsilon \mu} k_0\}$, where the prefactor C is a constant much bigger than 1. Therefore, a slab with $\varepsilon \neq -1$ does not have critical-distance cloaking effect.

APPENDIX B: ASYMPTOTIC BEHAVIORS OF *W***tran** *zz,***img** $(\varepsilon = \mu = -1 + \delta, \delta \rightarrow 0)$

We employ the similar procedures as those in Sec. [III C.](#page-4-0) As $\delta \to 0$, the asymptotic behavior of $W_{zz,img}^{tran}$ is

$$
4\pi k_0^2 W_{zz,\text{img}}^{\text{tran}} = i \int_0^\infty \frac{k_{//}^3}{k_{0z}} dk_{//} T^{TM} e^{2ik_{0z}d}
$$

$$
\sim \frac{4}{d^3} \left(I_0' \log^2 \delta - 2I_1' \log \delta + I_2' \right). \tag{B1}
$$

As $4\pi k_0^2 W_{zz}^{\text{ref}}$ is more divergent than $4\pi k_0^2 W_{zz,\text{img}}^{\text{tran}}$ as $\delta \to 0$ when the dipole is placed with $d/2$ of the slab, and as $\alpha^* \sim (4\pi k_0^2 \bar{W}_{zz}^{\text{ref}})^{-1}$, we find that $\lim_{\delta \to 0} \alpha^* 4\pi k_0^2 W_{zz,\text{img}}^{\text{tran}} \to 0$. The image of the dipole will have has zero brightness in the limit of no absorption in the lens.

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